

# Exponent Blinding May Not Prevent Timing Attacks on RSA

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**Abstract.** The references [9, 3, 1] treat timing attacks on RSA with CRT and Montgomery’s multiplication algorithm in unprotected implementations. It has been widely believed that exponent blinding would prevent any timing attack on RSA. At cost of significantly more timing measurements this paper extends the before-mentioned attacks to RSA with CRT, Montgomery’s multiplication algorithm and exponent blinding. Simulation experiments are conducted, which confirm the theoretical results. Effective countermeasures exist.

**Keywords:** Timing attack, RSA, CRT, exponent blinding, Montgomery’s multiplication algorithm.

## 1 Introduction

In 1996 Paul Kocher introduced timing analysis [6]. In particular, [6] presents a timing attack on an unprotected RSA implementation that does not apply the Chinese Remainder Theorem (CRT). Reference [9] introduces a new timing attack on RSA implementations, which apply CRT and Montgomery’s multiplication algorithm [8]. This attack was extended to OpenSSL (RSA, CRT, sliding window exponentiation algorithm, Montgomery’s multiplication algorithm) [3] and later optimized [1]. Also [5, 9–12] consider timing attacks on RSA implementations that apply Montgomery’s multiplication algorithm. All these attacks target unprotected RSA implementations.

Besides presenting the first timing attack on RSA (without CRT) [6] proposes different countermeasures (Section 10), including exponent blinding where a random multiple of Euler’s  $\phi$  function of the modulus is added to the secret exponent. Since then exponent blinding has been widely assumed to be effective to prevent (any type of) timing attacks on RSA, at least no successful timing attacks against exponent blinding have been known. The present paper extends the timing attack from [9] to RSA implementations, which apply exponent blinding, proving that exponent blinding does not always prevent timing attacks on RSA. However, the presence of exponent blinding increases the number of timing measurements enormously.

The paper is organized as follows: In Section 2 the targeted implementation is described (RSA with CRT, square & multiply, Montgomery’s multiplication algorithm, exponent blinding) while Section 3 contains the theoretical foundations of our attack. Section 4 specifies the attack and provides experimental results. Moreover, the attack is adjusted to table-based exponentiation algorithms, and effective countermeasures are proposed.

## 2 Modular Exponentiation with Montgomery’s Multiplication Algorithm

Modular exponentiations require many hundreds or even thousands of modular multiplications and squarings. Montgomery’s multiplication algorithms (MM) [8] saves computation time since principally time-consuming modulo operations and divisions only have to be carried out for moduli and divisors, which are powers of 2. This fits perfectly to the hardware architecture of a computer, smart card or microcontroller.

**Definition 1.** For a positive integer  $M > 1$  we set  $Z_M := \{0, 1, \dots, M - 1\}$ . As usually, for  $b \in Z$  the term  $b \pmod{M}$  denotes the smallest nonnegative integer, which is congruent to  $b$  modulo  $M$ .

Let’s have a brief look at Montgomery’s multiplication algorithm. For an odd modulus  $M$  the integer  $R := 2^t > M$  is called Montgomery’s constant, and  $R^{-1} \in Z_M$  denotes its multiplicative inverse modulo  $M$ . Moreover,  $M^* \in Z_R$  satisfies the integer equation  $RR^{-1} - MM^* = 1$ .

On input  $(a, b)$  Montgomery’s algorithm returns  $\text{MM}(a, b; M) := abR^{-1} \pmod{M}$ . This value is computed with a multiprecision version of Montgomery’s multiplication algorithm, which is adjusted to the particular device. More precisely, let  $ws$  denote the word size for the arithmetic operations (typically,  $ws = 8, 16, 32, 64$ ), which divides the exponent  $t$ . Further,  $r = 2^{ws}$ , so that in particular  $R = r^x$  with  $v = t/ws$  (numerical example:  $(ws, t, v) = (16, 1024, 64)$ ). We express  $a, b$  and the term  $s$  below in  $r$ -adic representation. That is,  $a = (a_{v-1}, \dots, a_0)_r$ ,  $b = (b_{v-1}, \dots, b_0)_r$  and  $s = (s_{v-1}, \dots, s_0)_r$ . Finally,  $m^* = M^* \pmod{r}$ . In particular,  $MM^* = RR^{-1} - 1 \equiv -1 \pmod{R}$  and thus  $m^* \equiv -M^{-1} \pmod{r}$ .

**Algorithm 1.** Montgomery’s multiplication algorithm (MM), multiprecision variant

1. Input:  $a, b \in Z_M$
2.  $s := 0$
3. For  $i = 0$  to  $v - 1$  do {
  - $u := (s + a_i b_0) m^* \pmod{r}$
  - $s := (s + a_i b + uM) / r$
4. If  $(s \geq M)$  then  $s := s - M$  [= extra reduction (ER)]

5. return  $(= abR^{-1}(\bmod M) = \text{MM}(a, b; M))$

After Step 3  $s(\bmod M) \equiv abR^{-1}(\bmod M)$  and  $s \in [0, 2M)$ . The instruction  $s := s - M$  in Step 4, called 'extra reduction' (ER), is carried out iff  $s \in [M, 2M)$ . This conditional integer subtraction is responsible for timing differences. Whether an ER is necessary does not depend on the chosen multiprecision variant but only on the quadruple  $(a, b, M, R)$  [9], Remark 1. To determine the probability distribution of ERs in a modular exponentiation one clearly analyses the case  $ws = t$ , i.e.  $v = 1$ .

In the following we assume that Montgomery's multiplication algorithm attains for all pairs  $(a, b)$  with  $0 \leq a, b < M$  (with modulus  $M$  and Montgomery constant  $R$  fixed) only two different execution times, namely

$$\text{Time}(\text{MM}(a, b; M)) \in \{c, c + c_{\text{ER}}\} \quad \text{for } a, b \in Z_M \quad (M, R \text{ fixed}). \quad (1)$$

In the following we will make extensive use of Assumption (1).

*Remark 1.* (i) Since the divisions and the modular reductions in Step 3 of Algorithm 1 can be realized by shifts and masking operations the calculations within the for-loop are essentially integer additions and integer multiplications or parts thereof, respectively. Usually,  $\log_2(M) \approx \log_2(R)$ . For known input attacks (randomly selected bases) the leading words  $a_{v-1}$  and  $b_{v-1}$  are de facto always non-zero, in particular if  $ws \geq 16$ . Hence one may expect that (1) is fulfilled.

(ii) Our timing attack is an adapted chosen input attack, for which in the course of the attack in many Montgomery multiplications one factor has one or more leading zero words. For smart cards and microcontrollers one may assume that this feature may not violate (1) since optimizations of rare events (within the normal use of the device) seem to be unlikely.

(iii) On a PC cryptographic software as OpenSSL, for instance, may process small operands (i.e., those with leading zero-words) in Step 3 of Montgomery's algorithm differently, e.g. because another integer multiplication algorithm is applied [3, 1]. Such effects, however, may complicate our attack but should not prevent it [3, 1].

*Remark 2.* In the following we assume that the attacker knows the timing constants  $c$  and  $c_{\text{ER}}$ . In the best case (from the attacker's point of view) the attacker either knows both timing constants or is able to determine them precisely with a simulation tool. Otherwise, he has to estimate both values (c.f. Subsection 4.4 for details).

Algorithm 2 combines Montgomery's multiplication algorithm with the square & multiply exponentiation algorithm.

**Algorithm 2.** Square & multiply with Montgomery's algorithm (s&m, MM)

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Computes  $y \mapsto y^d(\bmod M)$  for  $d = (d_{w-1}, \dots, 0)_2$ 
  temp :=  $y_R := \text{MM}(y, R^2(\bmod M); M)$       (Pre-multiplication)
  for i=w-1 down to 0 do {

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temp := MM(temp, temp; M)
if (di=1) then temp := MM(temp, yR; M)
}
MM(temp, 1; M)                                (Post-multiplication)
return temp (= yd(mod M) )

```

As usual,  $n = p_1 p_2$  and  $R$  denotes the Montgomery constant while  $\text{MM}(a, b; n) := abR^{-1}(\text{mod } n)$  stands for the Montgomery multiplication of  $a$  and  $b$ . The computation of  $v = y^d(\text{mod } n)$  is performed in several steps:

**Algorithm 3.** RSA, CRT, s&m, MM, exponent blinding

1. (a) Set  $y_1 := y(\text{mod } p_1)$  and  $d_1 := d(\text{mod } (p_1 - 1))$   
(b) (Exponent blinding) Generate a random number  $r_1 \in \{0, 1, \dots, 2^{eb} - 1\}$  and compute the blinded exponent  $d_{1,b} := d_1 + r_1 \phi(p_1) = d_1 + r_1(p_1 - 1)$ .  
(c) Compute  $v_1 := y_1^{d_{1,b}}(\text{mod } p_1)$  with Algorithm 2 ( $M = p_1$ ).
2. (a) Set  $y_2 := y(\text{mod } p_2)$  and  $d_2 := d(\text{mod } (p_2 - 1))$   
(b) (Exponent blinding) Generate a random number  $r_2 \in \{0, 1, \dots, 2^{eb} - 1\}$  and compute the blinded exponent  $d_{2,b} := d_2 + r_2 \phi(p_2) = d_2 + r_2(p_2 - 1)$ .  
(c) Compute  $v_2 := y_2^{d_{2,b}}(\text{mod } p_2)$  with Algorithm 2 ( $M = p_2$ ).
3. (Recombination) Compute  $v := y^d(\text{mod } n)$  from  $(v_1, v_2)$ , e.g. with Garner's algorithm:  $v := v_1 + p_1 (p_1^{-1}(\text{mod } p_2) \cdot (v_2 - v_1)(\text{mod } p_2))(\text{mod } n)$

### 3 Theoretical Background of our Attack

This section contains the theoretical foundations of our attack. The main results of this section are the computation of the mean value and the variance (of the relevant part) of the exponentiation time modulo  $p_i$  (Subsection 3.1), the development of a distinguisher and the analysis of its relevant properties (Subsection 3.3).

#### 3.1 Exponentiation (mod $p_i$ )

In this subsection we investigate the stochastic timing behaviour of the exponentiations modulo  $p_1$  and modulo  $p_2$ . As in Algorithm 3 the blinding factor  $r_i$  is an  $eb$ -bit number, i.e.  $r_i \in \{0, \dots, 2^{eb} - 1\}$  for  $i = 1, 2$ .

**Definition 2.** *Random variables are denoted by capital letters, and realizations (i.e., values taken on) of these random variables are denoted with the corresponding small letter. The abbreviation 'iid' stands for 'independent and identically distributed'. For a random variable  $Y$  the terms  $E(Y)$ ,  $E^2(Y)$  and  $\text{Var}(Y)$  denote its expectation (mean), its second moment and its variance, respectively. The term  $Y \sim N(\mu, \sigma^2)$  means that the random variable  $N$  is normally distributed with mean  $\mu$  and variance  $\sigma^2$ . The cumulative distribution of the standard normal distribution  $N(0, 1)$  is given by  $\Phi(x) := (2\pi)^{-1/2} \int_{-\infty}^x e^{-t^2/2} dt$ .*

We interpret the measured execution times as realizations of random variables. In this subsection we focus on Step 1(c) and Step 2(c) of Algorithm 3. More precisely, we consider the for-loop in Algorithm 2 with  $M = p_i$  for  $i = 1, 2$ . The distinguisher (Subsect. 3.3) and the attack (Sect. 4) consider input values of the form  $y = uR^{-1} \pmod n$ . A simple calculation shows that the pre-multiplication step in Algorithm 2 transforms the input value  $y$  to  $y_{R,i} := u \pmod{p_i}$  ([9], Sect. 3, after formula (5)). Consequently, we interpret the execution time of the for loop in Algorithm 2 as a realization of a random variable  $Z_i(u)$ . With this notation

$$Z_i(u) := (Q_i + M_i)c + X_i c_{\text{ER}} \quad (2)$$

expresses the random computation time for the exponentiation  $(\text{mod } p_i)$  in terms of the random variables  $Q_i$ ,  $M_i$  and  $X_i$ . The random variables  $Q_i$  and  $M_i$  denote the random number of squarings and multiplications within the for loop in Step i(c) while  $X_i$  quantifies the number of extra reductions (ERs) in these squarings and multiplications. Unfortunately, the random variables  $Q_i$ ,  $M_i$  and  $X_i$  are not independent.

The main goal of this subsection is to calculate  $E(Z_i(u))$  and  $\text{Var}(Z_i(u))$ . By definition

$$\begin{aligned} E(Z_i^v(u)) &= \sum_{q_j} \sum_{m_k} \sum_{x_r} P(Q_i = q_i, M_i = m_k, X_i = x_r) ((q_i + m_k)c + x_r c_{\text{ER}})^v = \\ &= \sum_{q_j} P(Q_i = q_j) \sum_{m_k} P(M_i = m_k \mid Q_i = q_j) \sum_{x_r} P(X_i = x_r \mid Q_i = q_j, M_i = m_k) \times \\ &\quad \times ((q_i + m_k)c + x_r c_{\text{ER}})^v. \end{aligned} \quad (3)$$

Clearly,  $x_r \in \{0, \dots, q_j + m_k\}$ ,  $m_k \in \{0, \dots, q_j\}$  and  $q_j \in \{k-1, \dots, k+eb-1\}$ . Lemma 1 collects some facts, which will be needed in the following. Recall that  $p_i < R$ .

**Lemma 1.** *As in Section 2 the term  $y_i$  stands for  $y \pmod{p_i}$ .*

(i) *For  $y := uR^{-1} \pmod n$  the MM-transformed basis for the exponentiation  $(\text{mod } p_i)$  equals  $u'_i := u \pmod{p_i}$ .*

(ii) *If  $d_{i,b}$  is a  $k'_i$ -bit integer the computation of  $y_i^{d_{i,b}} \pmod{p_i}$  needs  $q_i := k'_i - 1$  squarings and  $m_i := \text{ham}(d_{i,b}) - 1$  multiplications.*

(iii) *The (conditional) random variable  $(X_i \mid Q_i = q_i, M_i = m_i) c_{\text{ER}}$  quantifies the overall random execution time for all extra reductions if  $Q_i = q_i$  and  $M_i = m_i$ . Let*

$$p_{i*} := \frac{p_i}{3R}, \quad p_{i(u')} := \frac{u'_i}{2p_i}, \quad \text{cov}_{i,\text{MS}}(u'_i) := 2p_{i(u')}^3 p_{i*} - p_{i(u')} p_{i*}, \quad (4)$$

$$\text{cov}_{i,\text{SM}}(u'_i) := \frac{9}{5} p_{i(u')} p_{i*}^2 - p_{i(u')} p_{i*}, \quad \text{cov}_{i,\text{SS}} := \frac{27}{7} p_{i*}^4 - p_{i*}^2. \quad (5)$$

*The random variable  $(X_i \mid Q_i = q_i, M_i = m_i)$  is normally distributed with expectation and variance*

$$E(X_i \mid Q_i = q_i, M_i = m_i) = q_i p_{i*} + m_i p_{i(u')} \quad \text{and} \quad (6)$$

$$\begin{aligned} \text{Var}(X_i | Q_i = q_i, M_i = m_i) &= q_i p_{i*} (1 - p_{i*}) + m_i p_{i(u')} (1 - p_{i(u')}) + \\ &2m_i \text{cov}_{i, \text{SM}(u'_i)} + 2(m_i - 1) \text{cov}_{i, \text{MS}(u'_i)} + 2(q_i - m_i) \text{cov}_{i, \text{SS}} \end{aligned} \quad (7)$$

(iv) The random variable  $(M_i | Q_i = q_i)$  quantifies the random number of multiplications if  $Q_i = q_i$ . It is approximately  $N(q_i/2, q_i/4)$ -distributed. In particular,  $E(M_i^2 | Q_i = q_i) = \frac{1}{4}(q_i + q_i^2)$ .

*Proof.* The assertions (i) and (ii) are obvious. Altogether,  $q_i + m_i$  Montgomery operations (squarings and multiplications) are carried out. Since  $\gcd(d_{i,b}, p-1) = 1$  the last binary digit of  $d_{i,b}$  is 1. Hence  $m_i$  times a multiplication follows a squaring,  $m_i - 1$  times a squaring follows a multiplication, and  $q + m_i - 1 - m_i - (m_i - 1) = q - m_i$  times a squaring follows a squaring. Formulae (6) and (7) have been proved in Theorem 2 in [9]. In (iv) we assume that (apart from the most significant bit) the digits of the blinded exponent  $d_{i,b}$  independently assume the values 0 and 1 with probability 1/2.

For  $v = 1$  the evaluation of (3) quite easy. As in Lemma 1 we define  $u' := u \pmod{p_i}$  to simplify the notation. In particular,  $u' \in \mathbb{Z}_{p_i}$ . In fact, by Lemma 1 we have  $X_i = X_i(u')$  whereas  $Q_i$  and  $M_i$  do not depend on  $u'$ .

$$\begin{aligned} E(Z_i(u)) &= \\ &\sum_{q_j} P(Q_i = q_j) \sum_{m_k} P(M_i = m_k | Q_i = q_j) ((q_i + m_k)c + (q_j p_{i*} + m_k p_{i(u')}) c_{\text{ER}}) = \\ &\sum_{q_j} P(Q_i = q_j) \left( \left( q_j + \frac{q_j}{2} \right) c + \left( q_j p_{i*} + \frac{q_j}{2} p_{i(u')} \right) c_{\text{ER}} \right) = \\ &E(Q_i) \left( \frac{3}{2}c + \left( p_{i*} + \frac{1}{2}p_{i(u')} \right) c_{\text{ER}} \right). \end{aligned} \quad (8)$$

Careful calculations yield

$$\begin{aligned} E(Z_i^2(u)) &= \\ &\sum_{q_j} P(Q_i = q_j) \sum_{m_k} P(M_i = m_k | Q_i = q_j) \sum_{x_r} P(X_i = x_r | Q_i = q_j, M_i = m_k) \times \\ &\quad \times ((q_i + m_k)^2 c^2 + 2(q_j + m_k)x_r c c_{\text{ER}} + x_r^2 c_{\text{ER}}^2) = \\ &\sum_{q_j} P(Q_i = q_j) \sum_{m_k} P(M_i = m_k | Q_i = q_j) \times \\ &\quad \times \left( (q_j + m_k)^2 c^2 + 2(q_j + m_k)E(X_i | Q_i = q_j, M_i = m_k) c c_{\text{ER}} + \right. \\ &\quad \left. (\text{Var}(X_i | Q_i = q_j, M_i = m_k) + E^2(X_i | Q_i = q_j, M_i = m_k)) c_{\text{ER}}^2 \right) \end{aligned} \quad (9)$$

Substituting (6) and (7) into (9) yields

$$\sum_{q_j} P(Q_i = q_j) \sum_{m_k} P(M_i = m_k | Q_i = q_j) \times$$

$$\begin{aligned}
& \times \left( (q_j + m_k)^2 c^2 + 2(q_j + m_k)(q_j p_{i^*} + m_k p_{i(u')}) c c_{\text{ER}} \right. \\
& + (q_j p_{i^*} (1 - p_{i^*}) + m_k p_{i(u')} (1 - p_{i(u')})) + 2(m_k - 1)(2p_{i(u')}^3 p_{i^*} - p_{i(u')} p_{i^*}) \\
& + 2m_k \left( \frac{9}{5} p_{i(u')} p_{i^*}^2 - p_{i(u')} p_{i^*} \right) + 2(q_j - m_k) \left( \frac{27}{7} p_{i^*}^4 - p_{i^*}^2 \right) \\
& \left. + (q_j p_{i^*} + m_k p_{i(u')})^2 c_{\text{ER}}^2 \right) \tag{10}
\end{aligned}$$

By Lemma 1(iv), and since  $\sum_{q_j} P(Q_i = q_j) q_j^v = E(Q_i^v)$  we finally obtain

$$\begin{aligned}
E(Z_i^2(u)) &= E(Q_i^2) \left( \frac{3}{2} c + (p_{i^*} + \frac{1}{2} p_{i(u')}) c_{\text{ER}} \right)^2 \\
&+ E(Q_i) \left( \frac{1}{4} c^2 + \frac{1}{2} p_{i(u')} c c_{\text{ER}} + (p_{i^*} (1 - p_{i^*}) + \frac{1}{2} p_{i(u')} (1 - p_{i(u')})) \right. \\
&+ 2p_{i(u')}^3 p_{i^*} + \frac{9}{5} p_{i(u')} p_{i^*}^2 - 2p_{i(u')} p_{i^*} + \frac{27}{7} p_{i^*}^4 - p_{i^*}^2 + \frac{1}{4} p_{i(u')} c_{\text{ER}}^2 \left. \right) \\
&- 2(2p_{i(u')}^3 p_{i^*} - 2p_{i(u')} p_{i^*}) c_{\text{ER}}^2 \tag{11}
\end{aligned}$$

**Theorem 1.** *Combining the previous results we obtain*

$$E(Z_i(u)) = E(Q_i) \left( \frac{3}{2} c + \left( p_{i^*} + \frac{1}{2} p_{i(u')} \right) c_{\text{ER}} \right) \tag{12}$$

and

$$\begin{aligned}
\text{Var}(Z_i(u)) &= \text{Var}(Q_i) \left( \frac{3}{2} c + (p_{i^*} + \frac{1}{2} p_{i(u')}) c_{\text{ER}} \right)^2 \\
&+ E(Q_i) \left( \frac{1}{4} c^2 + \frac{1}{2} p_{i(u')} c c_{\text{ER}} + (p_{i^*} (1 - p_{i^*}) + \frac{1}{2} p_{i(u')} (1 - p_{i(u')})) \right. \\
&+ 2p_{i(u')}^3 p_{i^*} + \frac{9}{5} p_{i(u')} p_{i^*}^2 - 2p_{i(u')} p_{i^*} + \frac{27}{7} p_{i^*}^4 - p_{i^*}^2 + \frac{1}{4} p_{i(u')} c_{\text{ER}}^2 \left. \right) \\
&- 2(2p_{i(u')}^3 p_{i^*} - 2p_{i(u')} p_{i^*}) c_{\text{ER}}^2. \tag{13}
\end{aligned}$$

*Proof.* Equation (12) equals (8), and (13) follows immediately from (11) and (8).

Lemma 2 provides explicit expressions for the terms  $E(Q_i)$  and  $\text{Var}(Q_i)$ . Note that  $p_i < 2^k \leq R$ .

**Lemma 2.** *Let  $p_i$  be a  $k$ -bit number, and let  $\gamma_i := p_i/2^k$ .*

(i) *Unless  $eb$  is artificially small in good approximation*

$$E(Q_i) = (k - 1) + eb - \frac{1}{\gamma_i} \tag{14}$$

$$\text{Var}(Q_i) = \frac{3}{\gamma_i} - \frac{1}{\gamma_i^2} \tag{15}$$

(ii) *In particular,  $E(Q_i)$  is monotonously increasing in  $\gamma_i$  and assumes values in  $(k - 1 + eb - 2, k - 1 + eb - 1)$ . The variance  $\text{Var}(Q_i)$  assumes values in  $(2, 2.25]$ . The maximum value 2.25 is taken on for  $\gamma_i = 2/3$ . If  $2^k = R$  (typical case) then  $\gamma_i = 3p_{i^*}$ .*

*Proof.* A  $k$ -bit integer needs  $q = k - 1$  squarings. Unless the parameter  $eb$  is very small, in good approximation

$$\begin{aligned}
E(Q_i^v) &= \sum_{j=0}^{eb} (k-1+j)^v 2^{-eb} |\{r : 2^{k-1+j} \leq d_i + r(p_i - 1) < 2^{k+j}\}| \\
&\approx \sum_{j=0}^{eb} (k-1+j)^v 2^{-eb} |\{r : 2^{k+j-1} \leq rp_i < 2^{k+j}\}| \\
&\approx \sum_{j=0}^{eb-1} (k-1+j)^v 2^{-eb} (|\{r : rp_i < 2^{k+j}\}| - |\{r : rp_i < 2^{k+j-1}\}|) + \\
&\quad (k-1+eb)^v 2^{-eb} (2^{eb} - |\{r : rp_i < 2^{k+eb-1}\}|) .
\end{aligned}$$

For 'large' indices  $j$  the approximation error in the second line is negligible, and for small indices  $j$  the summands have only negligible contribution to the sum. Hence the approximation error is practically irrelevant. (For the sake of completeness we point out that if  $d_i$  is smaller than  $2^{k-1}$  blinded exponents may occur, which are shorter than  $k$  bits. The probability is yet negligible.) With  $|\{r : rp_i < 2^{k+j}\}| \approx 2^{k+j}/p_i = 2^j/\gamma_i$  the above formula simplifies to

$$\begin{aligned}
E(Q_i^v) &\approx \sum_{j=0}^{eb-1} (k-1+j)^v 2^{-eb} \frac{2^{j-1}}{\gamma_i} + (k-1+eb)^v 2^{-eb} \left(2^{eb} - \frac{2^{eb-1}}{\gamma_i}\right) \\
&= \frac{2^{-eb}}{\gamma_i} \sum_{j=0}^{eb-1} (k-1+j)^v 2^{j-1} + (k-1+eb)^v \left(1 - \frac{1}{2\gamma_i}\right) .
\end{aligned}$$

For  $t \neq 0, 1$  elementary calculus yields

$$\begin{aligned}
\sum_{j=0}^{eb-1} t^{j-1} &= t^{-1} + \frac{t^{eb-1} - 1}{t-1} , \\
\sum_{j=0}^{eb-1} jt^{j-1} &= \frac{d}{dt} \sum_{j=1}^{eb-1} t^j = \frac{d}{dt} \left( \frac{t^{eb} - 1}{t-1} - 1 \right) = \frac{(eb-1)t^{eb} - ebt^{eb-1} + 1}{(t-1)^2} \\
\sum_{j=0}^{eb-1} j(j-1)t^{j-1} &= t \cdot \frac{d^2}{dt^2} \sum_{j=2}^{eb-1} t^j = t \cdot \frac{d^2}{dt^2} \left( \frac{t^{eb} - 1}{t-1} - t - 1 \right) \\
&= \frac{((eb-2)(eb-1)t^2 - 2eb(eb-2)t + eb(eb-1)) t^{eb-1} - 2t}{(t-1)^3}
\end{aligned}$$

For  $t = 2$  the first two formulae yield

$$\begin{aligned}
E(Q_i) &\approx \frac{2^{-eb}}{\gamma_i} \left( (k-1) \left( 2^{eb-1} - \frac{1}{2} \right) + ((eb-1)2^{eb} - ebt^{eb-1} + 1) \right) + (k-1+eb) \left( 1 - \frac{1}{2\gamma_i} \right) \\
&\approx (k-1) \frac{1}{2\gamma_i} + \frac{1}{2\gamma_i} (eb-2 + 2^{-eb+1}) + (k-1) \left( 1 - \frac{1}{2\gamma_i} \right) + eb \left( 1 - \frac{1}{2\gamma_i} \right)
\end{aligned}$$

Neglecting the term  $2^{-eb+1}/2\gamma_i$  and simplifying the remaining terms yields (14). Using the above formulae we obtain

$$\begin{aligned} \text{Var}(Q_i) &= \text{Var}(Q_i - (k - 1)) = E((Q_i - (k - 1))^2) - E^2(Q_i - (k - 1)) \\ &= \frac{2^{-eb}}{\gamma_i} \left( \sum_{j=0}^{eb-1} j2^{j-1} + \sum_{j=0}^{eb-1} j(j-1)2^{j-1} \right) + eb^2 \left( 1 - \frac{1}{2\gamma_i} \right) \end{aligned}$$

Substituting the two sums by the above formula (for  $t = 2$ ) and cancelling negligible terms (as for  $v = 1$ ) yields (15). The assertions of Lemma 2(ii) can be verified with methods from elementary calculus.

*Remark 3.* (i) Setting  $\text{Var}(Q_i) = 0$  and  $E(Q_i) = k - 1$  Theorem 1 gives the formulae for non-blinded implementations.

(ii) Numerical experiments verify that (15) approximates  $\text{Var}(Q_i)$  very well.

Table 1 evaluates the terms (12), (13), (14) and (15) for exemplary parameter sets.

				$\frac{u'}{p_i} = 0.0$		$\frac{u'}{p_i} = 0.5$		$\frac{u'}{p_i} = 1.0$	
$\log_2(R)$	$eb$	$\frac{p_i}{R}$	$c_{\text{ER}}$	$E(Z_i(u))$	$\text{Var}(Z_i(u))$	$E(Z_i(u))$	$\text{Var}(Z_i(u))$	$E(Z_i(u))$	$\text{Var}(Z_i(u))$
512	64	0.75	0.03	864.8 c	148.5 c <sup>2</sup>	866.4 c	150.2 c <sup>2</sup>	868.0 c	151.9 c <sup>2</sup>
512	64	0.80	0.03	865.2 c	148.5 c <sup>2</sup>	866.9 c	150.3 c <sup>2</sup>	868.7 c	152.0 c <sup>2</sup>
512	64	0.85	0.03	865.6 c	148.4 c <sup>2</sup>	867.4 c	150.3 c <sup>2</sup>	869.3 c	152.2 c <sup>2</sup>
512	64	0.75	0.05	867.7 c	148.7 c <sup>2</sup>	870.4 c	151.5 c <sup>2</sup>	873.0 c	154.3 c <sup>2</sup>
512	64	0.80	0.05	868.3 c	148.7 c <sup>2</sup>	871.1 c	151.6 c <sup>2</sup>	874.0 c	154.6 c <sup>2</sup>
512	64	0.85	0.05	868.9 c	148.6 c <sup>2</sup>	871.9 c	151.7 c <sup>2</sup>	875.0 c	154.9 c <sup>2</sup>
1024	64	0.75	0.03	1636.6 c	276.6 c <sup>2</sup>	1639.7 c	279.7 c <sup>2</sup>	1642.8 c	282.8 c <sup>2</sup>
1024	64	0.80	0.03	1637.3 c	276.6 c <sup>2</sup>	1640.6 c	279.9 c <sup>2</sup>	1643.8 c	283.2 c <sup>2</sup>
1024	64	0.85	0.03	1638.0 c	276.5 c <sup>2</sup>	1641.4 c	280.0 c <sup>2</sup>	1644.9 c	283.5 c <sup>2</sup>
1024	64	0.75	0.05	1642.1 c	276.9 c <sup>2</sup>	1647.2 c	282.1 c <sup>2</sup>	1652.3 c	287.4 c <sup>2</sup>
1024	64	0.80	0.05	1643.1 c	276.8 c <sup>2</sup>	1648.5 c	282.4 c <sup>2</sup>	1654.0 c	288.0 c <sup>2</sup>
1024	64	0.85	0.05	1644.1 c	276.8 c <sup>2</sup>	1649.9 c	282.7 c <sup>2</sup>	1655.7 c	288.6 c <sup>2</sup>

**Table 1.** Expectation and variance for several sets of parameters ( $R, eb, p_i/R, c_{\text{ER}}/c$ )

### 3.2 Further Arithmetic Operations and Noise

The random variables  $Z_1(u)$  and  $Z_2(u)$  comprise the random timing behaviour of the for-loop in Algorithm 2 when called in Step 1(c) and Step 2(c) in Algorithm 3, respectively, with  $M = p_i$ . However, the computation of  $(uR^{-1} \pmod n)^d \pmod n$  needs further steps: Step 1(a) and Step 2(a) (reduction modulo  $p_i$ ), Step 1(b) and Step 2(b) (exponent blinding), Step 1(c) and Step 2(c) (here:

pre-multiplication and post-multiplication of Algorithm 2), Step 3 (recombination), time for input and output etc. In analogy to Subsection 3.1 we view the required overall execution time for the before-mentioned steps as a realization of a random variable  $Z_3(u)$ .

It seems reasonable to assume that the time for input and output of data, for recombination and blinding as well as the reduction (mod  $p_i$ ) in Step 1(a) and Step 2(a) of Algorithm 3 do not (or at most weakly) depend on  $u$ . The postprocessing step in Algorithm 2 never needs an ER. (By [13], Theorem 1, in Algorithm 1 after Step 3 we have  $s \leq M + \text{temp} \cdot r^{-v} < M + 1$ , and thus  $s \leq M$ . If  $s = M$  then  $\text{temp} = 0$  after the extra reduction, which only can happen if  $u$  is a multiple of  $M = p_i$  but then  $y_R = uR^{-1}R \equiv 0 \pmod{p_i}$ , and Algorithm 2 does not need any extra reduction at all.) In the pre-multiplication in Algorithm 2 an ER may occur or not. Altogether, we may assume

$$E(Z_3(u)) \approx \bar{z}_3 \quad \text{for all } u \in Z_n \quad \text{and} \quad (16)$$

$$\text{Var}(Z_3(u)) \ll \text{Var}(Z_1(u)), \text{Var}(Z_2(u)) \quad (17)$$

In the following we assume  $E(Z_3(u)) = \bar{z}_3$  for all  $u$  and view the centered random variable  $Z_3(u) - \bar{z}_3$  as part of the noise. This and possibly additional noise, e.g. caused by measurement errors, is captured by the random variable  $N_e$ . If  $\text{Var}(N_e) = \sigma_N^2 > 0$  we assume  $N_e \sim N(\mu_N, \sigma_N^2)$ . Of course,  $\sigma_N^2 = 0$  means 'no noise', and  $N_e = \bar{z}_3$  with probability 1.

### 3.3 The Distinguisher

In this subsection we derive a distinguisher, which will be the core of our attack (to be developed in Section 4). The overall random execution time for input  $u$  is described by the random variable

$$Z(u) = Z_1(u) + Z_2(u) + \bar{z}_3 + N_e. \quad (18)$$

In the following we assume

$$0 < u_1 < u_2 < n \quad \text{and} \quad u_2 - u_1 \ll p_1, p_2. \quad (19)$$

Theorem 1 implies

$$\begin{aligned} E(Z(u_2) - Z(u_1)) &= E(Z_1(u_2) - Z_1(u_1)) + E(Z_2(u_2) - Z_2(u_1)) \quad (20) \\ &= \frac{1}{2} \sum_{i=1}^2 E(Q_i) \left( p_{i(u'_2)} - p_{i(u'_1)} \right) c_{\text{ER}} \quad \text{with } u'_j = u_j \pmod{p_i} \end{aligned}$$

As in [9] we distinguish between three cases:

Case A: The interval  $\{u_1 + 1, \dots, u_2\}$  does not contain a multiple of  $p_1$  or  $p_2$ .

Case B: The interval  $\{u_1 + 1, \dots, u_2\}$  contains a multiple of  $p_s$  but not of  $p_{3-s}$ .

Case C: The interval  $\{u_1 + 1, \dots, u_2\}$  contains a multiple of  $p_1$  and  $p_2$ .

Let's have a closer look at (20). By (4)

$$p_{i(u'_{(2)})} - p_{i(u'_{(1)})} \begin{cases} = \frac{u_2 - u_1}{2R} c_{\text{ER}} \approx 0 & \text{Case A, Case B (for } i \neq s) \\ \approx -\frac{p_i}{2R} c_{\text{ER}} & \text{Case B (for } i = s), \text{ Case C} \end{cases} \quad (21)$$

Further,

$$\begin{aligned} E(Q_i) &= k_i + eb - 1 - \gamma_i^{-1} = 2^{\lceil \log_2(p_i) \rceil} + eb - 1 - \frac{2^{k_i}}{p_i} \\ &= \log_2(R) + (\lceil \log_2(p_i) \rceil - \log_2(R)) + eb - 1 - \frac{R}{p_i} \cdot \frac{2^{k_i}}{R} \end{aligned} \quad (22)$$

where  $\lceil x \rceil$  denotes the smallest integer  $\geq x$ . Since during the attack the primes  $p_1$  and  $p_2$  are unknown we use the approximation

$$p_1, p_2 \approx \sqrt{n} \quad \text{and set } \beta := \frac{\sqrt{n}}{R}. \quad (23)$$

With approximation (23) formula (22) simplifies to

$$E(Q_i) \approx \log_2(R) + eb - 1 - \beta^{-1} \quad \text{if } \sqrt{0.5} < \beta < 1, \quad \text{and similarly} \quad (24)$$

$$\text{Var}(Q_i) \approx 3\beta^{-1} - \beta^{-2} \quad \text{if } \sqrt{0.5} < \beta < 1 \quad (25)$$

since  $k_i = \lceil \log_2(p_i) \rceil = \log_2(R)$  then. Finally (21) and (24) imply

$$E(Z(u_2) - Z(u_1)) \approx \begin{cases} 0 & \text{in Case A} \\ -\frac{1}{4} ((\log_2(R) + eb - 1)\beta - 1) c_{\text{ER}} & \text{in Case B} \\ -\frac{1}{2} ((\log_2(R) + eb - 1)\beta - 1) c_{\text{ER}} & \text{in Case C} \end{cases} \quad (26)$$

In the following we focus on the case  $\sqrt{0.5} < \beta < 1$ , which is the most relevant case since then  $0.5R^2 < n < R^2$ , i.e.  $n$  is a  $2\log_2(R)$  bit modulus and, consequently,  $p_1$  and  $p_2$  are  $\log_2(R)$ -bit numbers. We point out that the case  $\beta < \sqrt{0.5}$  can be treated analogously. In (24) and (25) the parameter  $\beta_i^{-1}$  then should be better replaced by  $\beta_i^{-1} 2^{\lceil \log_2(p_i) \rceil - \log_2(R)}$ . However, the 'correction factor' may not be unambiguous, which might lead to some inaccuracy in the formulae, finally implying a slight loss of attacking efficiency.

From (18) we obtain

$$\text{Var}(Z(u_2) - Z(u_1)) = \sum_{j=1}^2 \left( \sum_{i=1}^2 \text{Var}(Z_i(u_j)) + \text{Var}(N_{e,j}) \right) \quad (27)$$

For given  $R, eb, c, c_{\text{ER}}, u$  the variance  $\text{Var}(Z_i(u))$  is nearly independent of  $p_i/R$  and increases somewhat when the ratio  $u/p_i$  increases (c.f. Table 1). Since the true values  $p_1/R$  and  $p_2/R$  are unknown during the attack we approximate (27) by

$$\text{Var}(Z(u_2) - Z(u_1)) \approx 4\text{var}_{\beta;max} + 2\sigma_N^2 \quad (28)$$

Here 'var $_{\beta;max}$ ' suggestively stands for the term (13) with  $\beta R$  in place of  $p_i$  and  $u'$ , i.e. we replace the probabilities  $p_{i*}$  and  $p_{i(u')}$  by  $\beta/3$  and  $\beta/2$ , respectively. We point out that variance (27) has no direct influence on the decision strategy of our attack but determines the needed sample size. Usually, (28) should overestimate (27) somewhat. Moreover, decision errors can be detected and corrected (c.f. Section 4, 'confirmed intervals'). So we should be on the safe side anyway. For fixed  $p_i$  the mean  $E(Z_i(u))$  increases monotonically in  $u/p_i$  (c.f. (12)). In fact, our attack exploits these differences.

On basis of execution times for input values (bases)  $y = u_i R^{-1} \pmod n$  ( $i = 1, 2$ ) the attacker has to decide hundreds of times whether some interval  $\{u_1 + 1, \dots, u_2\}$  contains a multiple of  $p_1$  or  $p_2$ . By (26) the value

$$\text{decbound} := -\frac{1}{8}((\log_2(R) + eb - 1)\beta - 1) c_{ER} \quad (29)$$

is a natural decision boundary. In fact, for given  $u_1 < u_2$  and  $y_i := (u_i R^{-1} \pmod n)$  this suggests the following decision rule:

$$\begin{aligned} &\text{Decide for Case A iff } \text{Time}(y_2^d \pmod n) - \text{Time}(y_1^d \pmod n) > \text{decbound}, \\ &\text{and for (Case B or Case C) else.} \end{aligned} \quad (30)$$

(Note that we do not need to distinguish between Case B and Case C.) Here  $\text{Time}(y_i^d \pmod n)$  denotes the execution time for input value  $y_i$ , which of course depends on the blinding factors for the modular exponentiation  $\pmod{p_1}$  and  $\pmod{p_2}$ . However, the variance  $\text{Var}(Z(u_2) - Z(u_1))$  is too large for reliable decisions. Thus we consider  $N$  iid random variables  $Z_{[1]}(u), \dots, Z_{[N]}(u)$  in place of  $Z(u)$ , which are distributed as  $Z(u)$  (corresponding to  $N$  exponentiations with input value  $y = u R^{-1} \pmod n$ ). Unlike for decision strategy (30) we evaluate the average timing difference from  $N$  pairs of timing measurements (c.f. Sect. 4). For  $N_\tau$  the inequality

$$\begin{aligned} &\sqrt{\text{Var}\left(\frac{1}{N_\tau} \sum_{j=1}^{N_\tau} (Z_{[j]}(u_2) - Z_{[j]}(u_1))\right)} \approx \sqrt{\frac{4\text{var}_{\beta;max} + 2\sigma_N^2}{N_\tau}} \\ &\leq \frac{|\text{decbound} - 0|}{\tau} \quad \text{implies} \\ &N_\tau \geq \frac{\tau^2(4\text{var}_{\beta;max} + 2\sigma_N^2)}{|\text{decbound}|^2} = \frac{64\tau^2(4\text{var}_{\beta;max} + 2\sigma_N^2)}{((\log_2(R) + eb - 1)\beta - 1)^2 c_{ER}^2}. \end{aligned} \quad (31)$$

Applying the above decision strategy (30) to  $N \geq N_\tau$  pairs of timing differences the Central Limit Theorem then implies

$$\text{Prob}(\text{wrong decision}) \leq \Phi(-\tau). \quad (32)$$

Table 2 evaluates (31) for several parameter sets with  $\sigma_N^2 = 0$ . If  $\sigma_N^2 = \alpha(2\text{var}_{\beta;max})$  the sample size  $N_\tau$  increases by factor  $(1 + \alpha)$ .

$\log_2(R)$	$eb$	$c_{\text{ER}}$	$\beta = \frac{\sqrt{n}}{R}$	$N_{2.5}$	$N_{2.7}$	$\beta = \frac{\sqrt{n}}{R}$	$N_{2.5}$	$N_{2.7}$
512	64	0.03 $c$	0.75	1458	1701	0.85	1137	1326
512	64	0.05 $c$	0.75	533	622	0.85	417	486
1024	64	0.03 $c$	0.75	758	885	0.85	592	690
1024	64	0.05 $c$	0.75	277	324	0.85	217	253

**Table 2.** Exemplary sample sizes  $N_\tau$  for several parameter sets for  $\sigma_N^2 = 0$  (no noise). Note that  $\Phi(-2.5) = 0.0062$  and  $\Phi(-2.7) = 0.0035$ . Larger  $\tau$  reduces the error probability for each decision but increases the sample size  $N_\tau$ .

## 4 The Attack

In this section we describe the attack algorithm and provide theoretical considerations. We explain how to estimate the timing constants  $c$  and  $c_{\text{ER}}$ , and we sketch potential improvements of our attack. Experimental results are given. We show how the attack can be adjusted to other modular exponentiation algorithms, and countermeasures are proposed. Amazingly, the attack algorithm and its underlying idea are rather similar to the attack on unprotected implementations.

### 4.1 The Attack Algorithm

To simplify notation we introduce the abbreviation

$$\text{MeanTime}(u, N) := \frac{1}{N} \sum_{j=1}^N \text{Time}(y_j^d \pmod{n}) \quad \text{with } y_j := uR^{-1} \pmod{n} \quad (33)$$

That is,  $\text{MeanTime}(u, N)$  denotes the average time of  $N$  modular exponentiations  $y^d \pmod{n}$  with basis  $y \equiv uR^{-1} \pmod{n}$ . The sample size  $N$  is selected with regard to the results from Subsection 3.3. In our experiments we used  $N_{2.5}$  and  $N_{2.7}$  (c.f. Table 3). The attack falls into three phases. The goal of Phase 1 is to find an interval  $\{u_1 + 1, \dots, u_2\}$ , which contains  $p_1$  or  $p_2$ . In Phase 2 the length of this interval is successively divided by 2 such that  $p_i$  remains in the selected halve. Phase 2 ends when the attacker knows some more than the upper half of the bits of  $p_i$ , and in Phase 3 the prime  $p_i$  is computed with Coppersmith's algorithm, which transfers the search for  $p_i$  into a lattice problem [4]. Since the attacks aims to find  $p_1$  or  $p_2$  the initial value  $0 < u$  should be selected such that  $\{1, \dots, u\}$  contains  $p_1$  or  $p_2$  (maybe both) but not  $2p_i$  for some  $i = 1, 2$ . If  $p_i > 0.5R$  (definitely fulfilled if  $\beta = \sqrt{n}/R > \sqrt{0.5}$ ) then  $\beta R < u < R$  ensures this goal.

The following attack may require a pre-step in which the timing parameters  $c$  and  $c_{\text{ER}}$  are estimated (c.f. Remark 2 and Subsection 4.4). The decision boundary  $\text{decbound}$  is given by (29).

**The Attack.**

**Phase 1**

Select an integer  $u \in \{\lceil \beta R \rceil, \dots, R - 1\}$ , set (e.g.)  $\Delta := 2^{-6}R$   
 $u_2 := u, u_1 := u_2 - \Delta$   
while  $(\text{MeanTime}(u_2, N) - \text{MeanTime}(u_1, N) > \text{decbound})$  do\* {  
 $u_2 := u_1, u_1 := u_1 - \Delta$   
}

**Phase 2**

while  $(\log_2(u_2 - u_1) > 0.5 \log_2(R) - 10)$  do {  
 $u_3 := \lfloor (u_1 + u_2)/2 \rfloor$   
if  $(\text{MeanTime}(u_2, N) - \text{MeanTime}(u_3, N) > \text{decbound})$  then  $u_2 := u_3^*$   
else  $u_1 := u_3^{**}$  }

**Phase 3**

Apply Coppersmiths algorithm to determine  $p_i$

\* The attacker believes that Case A is correct

\*\* The attacker believes that Case B or Case C is correct

After Phase 2 the upper  $\approx 0.5 \log_2(p_i) + 10$  bits of  $u_1$  and  $u_2$  coincide, which yields  $\approx 0.5 \log_2(p_i) + 10$  bits of  $p_i$ . That is,  $p_i = \tilde{p}_i + x_0$  with known  $\tilde{p}_i$  and unknown  $x_0$  and  $\log_2(x_0) \approx 0.5 \log_2(R) - 10$ . The division  $n/\tilde{p}_i$  yields an analogous decomposition  $p_{3-i} = \tilde{p}_{3-i} + y_0$ . Altogether, we obtain a bivariate polynomial equation

$$f(x, y) := (\tilde{p}_i + x)(\tilde{p}_{3-i} + y) - n = p_1 p_2 - n = 0, \quad (34)$$

for which  $(x_0, y_0)$  is a 'small' solution. Reference [4] transfers the problem into a shortest vector problem, which can be solved with the LLL algorithm. This requires that  $\log_2(x_0), \log_2(y_0) < 0.25 \log_2(n)$ . In Phase 2 we determine  $\approx 10$  bits more than the upper half of the bits of  $p_i$  to speed up the execution time of the LLL algorithm. We did not solve the lattice problem in our experiments. We counted an attack successful if after Phase 2  $p_1$  or  $p_2$  was contained in the final interval  $\{u_1 + 1, \dots, u_2\}$ .

Of course, if after the end of Phase 2  $\{u_1 + 1, \dots, u_2\}$  does not contain  $p_1$  or  $p_2$  in Phase 3 the modulus  $n$  cannot be factored and thus the attack fails. This means that all decisions until the end of Phase 2 must be correct. For 1024 bit primes, for instance, we need about 550 individual decisions. Fortunately, it is very easy to check whether some intermediate interval  $\{u_1 + 1, \dots, u_2\}$  indeed contains a prime.

**Confirmed intervals** (i) Assume that the attack algorithm has determined the interval  $\{u_1 + 1, \dots, u_2\}$ , which should contain a multiple of  $p_1$  or  $p_2$ . One may perform  $2N$  new timing measurements, compute  $\text{MeanTime}(u_2, N) - \text{MeanTime}(u_1, N)$  and apply the above decision rule. If this time difference is  $< \text{decbound}$  we are convinced that  $\{u_1 + 1, \dots, u_2\}$  indeed contains  $p_1$  or  $p_2$ , and we denote  $\{u_1 + 1, \dots, u_2\}$  a 'confirmed interval'. If not we repeat the test with  $2N$  new timing measurements: in case of ' $< \text{decbound}$ ' we believe that the

first test result was false, and  $\{u_1 + 1, \dots, u_2\}$  is the new confirmed interval. If again '> decbound' we believe that an earlier decision was wrong and restart the attack at the preceding confirmed interval. Confirmed intervals should be established after *con* decisions. The value *con* should be selected with regard to the probability for a wrong individual decision. It is reasonable to establish the first confirmed interval after Phase 1.

(ii) In the unprotected case the determined interval  $\{u_1 + 1, \dots, u_2\}$  must be validated for neighboured integers, e.g. for  $u_1 + 1$  and  $u_2 - 1$ ,  $u_1 + 2$  and  $u_2 - 2$  [9]. In the presence of exponent blinding we may use  $u_1$  and  $u_2$ .

(iii) Of course, an erroneously confirmed interval will let the attack fail. This probability can be reduced e.g. by applying a 'majority of three' decision rule where the 'original' interval  $\{u_1 + 1, \dots, u_2\}$  (determined by our attack algorithm) unlike in (i) does not count. Alternatively, the algorithm might jump back to the last but one confirmed interval if the preceding confirmed interval turns out to be wrong with high probability.

*Remark 4.* Phase 1 and Phase 2 of our attack require roughly  $\approx (0.5 \log_2(R) + 30)N_\tau$  timing measurements plus the timing measurements that are necessary to establish confirmed intervals and to correct preceding wrong decisions, if necessary.

*Remark 5.* [Scaling] Assume  $eb \ll \log_2(R)$ , which is typical.

(i) By (29) and (13) doubling the length of the prime factors  $p_1$  and  $p_2$  roughly doubles decbound (and thus the intervals [decbound, 0] and [2decbound, decbound], c.f. (26) and (29)) and  $\text{var}_{\beta;max}$ . If  $\sigma_N^2 \approx 0$  by (31)  $N_\tau$  decreases to approximately 50%. On the other hand the attack needs about twice as many individual decisions (c.f. Remark 4). This points to the surprising fact that the overall number of timing measurements per attack is to a large extent independent of the modulus length if  $\sigma_N^2 \approx 0$ .

(ii) Similarly, halving  $c_{ER}$  halves decbound but leaves  $\text{var}_{\beta;max}$  nearly unchanged. If  $\sigma_N^2 \approx 0$  by (31) the attack then requires about 4 times as many timing measurements. More precisely, decbound depends linearly on  $c_{ER}$  (29). For realistic ratios  $c_{ER}/c$  in (13) the  $E(Q_i)(\dots)$ -term, and within the bracket the first summand dominates. Consequently, (31) implies that the number of timing measurements increases roughly like  $(c_{ER}/c)^{-2}$ .

(iii) Our simulation experiments confirm both assertions (c.f. Table 3).

*Remark 6.* As its predecessors in [9, 3, 1] our attack and its variants for table-based exponentiation algorithms (c.f. Subsection 4.5) are adaptive chosen input attacks. We point out that the attack would also work for input values  $(u_j + x)R^{-1}(\text{mod } n)$  with  $|x| \ll n^{1/4}$  in place of the input values  $u_j R^{-1}(\text{mod } n)$ . This property allows to meet possible minor restrictions on the input values (e.g. some set bits), which might be demanded by the targeted RSA application.

## 4.2 Experimental Results

In this subsection we present experimental results. As already pointed out in Section 2 it only depends on the quadruple  $(a, b, M, R)$  but not on any fea-

tures of the implementation whether  $\text{MM}(a, b; M)$  requires an extra reduction. This property allows to simulate the modular exponentiations  $y^{d \pmod n}$  and to count the number of extra reductions, which finally corresponds to an attack under perfect timing measurements and with  $\text{E}(Z_3(u)) = \bar{z}_3$ ,  $\text{Var}(Z_3(u)) = 0$ , i.e.  $Z_3(u) \equiv z_3$  for all  $0 < u < n$ , which is an idealization of (16) and (17). Consequently, also in the absence of noise in real-life experiments the number of timing measurements thus should be somewhat larger than for our simulation experiments. The impact of noise was quantified in Subsection 3.3.

In our experiments we selected the primes  $p_1$  and  $p_2$  pseudorandomly. The table entry  $p_i/R = 0.75$ , for instance, means that  $p_i$  has been selected pseudorandomly in the interval  $[0.75 - 0.025, 0.75 + 0.025]R$ . The secret exponent  $d$  was computed according to the public exponent  $e = 2^{16} + 1$ . Table 3 provides experimental results for several sets of parameters. In our experiments we assumed  $\sigma_N^2 = 0$ . We calculated  $N_\tau$  with formula (31), which also allows to extrapolate the number of timing measurements for any noise level. Table 3 confirms the considerations from Remark 5. Several experiments with  $p_1/R \approx p_2/R$  were conducted, which verify that the attack becomes the more efficient the larger these ratios are. The reason is that by (29)  $|\text{decbound}|$  depends almost linearly on  $\beta$  while  $\text{var}_{\beta; \text{max}}$  remains essentially unchanged. To save timing measurements many experiments were conducted for 512-bit primes and ratio  $c_{\text{ER}}/c \approx 0.05$ , which may seem to be relatively large for real-world applications. Remark 5 allows the extrapolation of the simulation results to smaller ratios  $c_{\text{ER}}/c$  and to other modulus lengths.

The number of timing measurements, which are needed for a successful attack, has non-negligible variance. The reason is that if an error has been detected the algorithm steps  $\text{con}$  steps back to the preceding confirmed interval. Usually, the value  $\tau = 2.5$  saved some percent of timing measurements at cost of lower success rate. We established confirmed intervals after the end of Phase 1, after the end of Phase 2 and regularly after  $\text{con}$  steps. We used  $\text{con} = 40$  for  $\tau = 2.5$  and  $\text{con} = 50$  for  $\tau = 2.7$ . Of course, when keeping the parameter  $\text{con}$  fixed increasing  $\tau$  increases both the success rate of the attack but also the number of timing measurements per individual decision.

### 4.3 Possible Improvements

At the end of Phase 1 the attacker knows that the interval  $\{u_1 + 1, \dots, u_2 = u_1 + \Delta\}$  contains a prime  $p_i$ . Then

$$|p_i - \tilde{p}_i| \leq 2^{-1} \Delta R = 2^{-7} R \quad \text{with } \tilde{p}_i := \lfloor \frac{u_1 + u_2}{2} \rfloor. \quad (35)$$

This observation allows to apply the more precise decision boundary

$$\text{decbound}_{\text{II}} := -\frac{1}{8} ((\log_2(R) + eb - 1) \frac{\tilde{p}_i}{R} - 1) c_{\text{ER}} \quad (36)$$

in Phase II of the attack. The new decision boundary  $\text{decbound}_{\text{II}}$  arises from  $\text{decbound}$  when substituting  $\beta = \sqrt{n}/R$  by  $\tilde{p}_i/R$ . In Phase II  $\text{decbound}_{\text{II}}$  should

$\log_2(R)$	$eb$	$c_{ER}$	$\frac{p_1}{R}$	$\frac{p_2}{R}$	$\tau$	success rate	av.#exponentiations
512	64	0.02 $c$	0.75	0.85	2.5	28/30	1,143,000
512	64	0.02 $c$	0.75	0.85	2.7	30/30	1,181,000
512	64	0.025 $c$	0.75	0.85	2.5	50/50	725,000
512	64	0.025 $c$	0.75	0.85	2.7	49/50	769,000
512	64	0.03 $c$	0.75	0.85	2.5	47/50	494,000
512	64	0.03 $c$	0.75	0.85	2.7	47/50	524,000
512	64	0.05 $c$	0.75	0.85	2.5	47/50	174,000
512	64	0.05 $c$	0.75	0.85	2.7	49/50	193,000
512	64	0.05 $c$	0.70	0.70	2.5	20/20	201,000
512	64	0.05 $c$	0.70	0.70	2.7	19/20	230,000
512	64	0.05 $c$	0.75	0.75	2.5	17/20	181,000
512	64	0.05 $c$	0.75	0.75	2.7	20/20	196,000
512	64	0.05 $c$	0.80	0.80	2.5	20/20	161,000
512	64	0.05 $c$	0.80	0.80	2.7	20/20	179,000
512	64	0.05 $c$	0.85	0.85	2.5	19/20	137,000
512	64	0.05 $c$	0.85	0.85	2.7	19/20	155,000
512	64	0.05 $c$	0.90	0.90	2.5	19/20	123,000
512	64	0.05 $c$	0.90	0.90	2.7	20/20	136,000
768	64	0.025 $c$	0.75	0.85	2.5	45/50	720,000
768	64	0.025 $c$	0.75	0.85	2.7	47/50	810,000
768	64	0.03 $c$	0.75	0.85	2.5	45/50	521,000
768	64	0.03 $c$	0.75	0.85	2.7	49/50	543,000
768	64	0.05 $c$	0.75	0.85	2.5	49/50	179,000
768	64	0.05 $c$	0.75	0.85	2.7	49/50	198,000
1024	64	0.025 $c$	0.75	0.85	2.5	19/20	720,000
1024	64	0.025 $c$	0.75	0.85	2.7	19/20	796,000
1024	64	0.03 $c$	0.75	0.85	2.5	18/20	508,000
1024	64	0.03 $c$	0.75	0.85	2.7	70/70	571,000

**Table 3.** Simulated attack: experimental results. The average numbers of exponentiations (rounded to thousands) refer to the successful attacks. As explained above the primes have been selected pseudorandomly within small intervals around the values in the fourth and fifth column.

be 'better' centered between the mean values  $E(Z(u_2) - E(u_1))$  for Case A and Case B than  $decbound$ . Hence the use of  $decbound_{II}$  should save some timing measurements.

In combination with this improvement it is reasonable to aim at the larger prime in Phase 1 of the attack since in Phase 2  $|decbound_{II}|$  is larger than for the smaller prime. This goal can be achieved by starting Phase 1 with  $u_2$  being somewhat smaller than  $R$ . Alternatively, the attacker may start with the pair  $(u_1, u_2) := ([\beta R], [\beta R] + \Delta)$  but continue with intervals on the right-hand side instead of with intervals on the left-hand side.

The gain of efficiency, which is caused by both improvements, should be the more significant the more  $p_1$  and  $p_2$  differ. Another option, especially in

combination with the first proposed improvement, might be to optimize the value  $con$  in dependence of  $\tau$ .

Moreover, in [1] sequential analysis is applied, which could to some extent also reduce the number of timing measurements in our attack. We have resigned on this option since our experiments just shall give a proof of concept that our attack works.

#### 4.4 Estimation of the timing parameters $c$ and $c_{ER}$

If the attacker does not know the timing constants  $c$  and  $c_{ER}$  and if he is not able to determine them, e.g. by counting the cycles with a simulation tool (c.f. Remark 2) he has to estimate these values.

This estimation process may be performed on an identical training device if primes of the same length are used in both cases (e.g., 1024-bit primes). Ideally, the attacker knows  $p_1, d_1, r_{1,j}, p_2, d_2, r_{2,j}$  (with  $r_{1,j}$  and  $r_{2,j}$  denoting the blinding factors in modular exponentiation  $j$ ), which determine the number of Montgomery multiplications and of extra reductions within exponentiation  $j$ . With regard to Subsection 3.2 from  $t_j := \text{Time}(y_j^d \pmod{n})$ ,  $j = 1, \dots, N'$  we obtain  $N'$  equations

$$a_j c + b_j c_{ER} + \bar{z}_3 = t_j \quad \text{with known integers } a_j \text{ and } b_j \text{ for } j = 1, \dots, N', \quad (37)$$

defining an overdetermined system of linear equations. Finally, least square estimation yields estimates for  $c$  and  $c_{ER}$  (and  $\bar{z}_3$ ).

In the following we assume that the values  $p_1, d_1, r_{1,j}, p_2, d_2, r_{2,j}$  are unknown. We show how suitably chosen input values allow to estimate  $c$  and  $c_{ER}$  anyway. At first we mimic Phase 1 of our attack. In absence of a clear decision rule we conclude that  $\{u_1^* + 1, \dots, u_2^* = u_1^* + \Delta\}$  contains a prime  $p_i$  if the term  $|\text{MeanTime}(u_2^*, N) - \text{MeanTime}(u_1^*, N)|$  is significantly larger than for other intervals. As in (35) we obtain an estimate  $\tilde{p}_i$  for  $p_i$ , and  $\tilde{p}_{3-i} := n/\tilde{p}_i$  is an estimate for the second prime  $p_{3-i}$ . We may assume that  $p_i < p_{3-i}$ . Otherwise we could simply change their roles. (If necessary we may halve the above interval until  $n > (u_1^* + \Delta')^2$ .)

We consider the values  $u_1 := 1$ ,  $u_2 \approx \sqrt{\tilde{p}_i}$ ,  $u_3 := u_1^* + \Delta$ ,  $u_4 := (\tilde{p}_i + \tilde{p}_{3-i})/2$  and  $u_5 := n/u_1^*$ . In particular,  $u_1 < u_2 < p_i < u_3 < u_4 < p_{3-i} < u_5$ . Let  $y_j := u_j R^{-1} \pmod{n}$ . For  $y_1$  obviously no extra reductions occur in both squarings and multiplications. Using the approximates  $\tilde{p}_i$  and  $\tilde{p}_{3-i}$  one gets estimates for the probabilities  $p_{i^*}$  and  $p_{i(u'_{j,i})}$  for  $i = 1, 2$  and  $j = 2, \dots, 5$ , where  $u'_{j,i} := u_j \pmod{p_i}$ . By (12) this allows to estimate the corresponding mean values  $E(Z_i(u_j))$ . Recall that in (14)  $\gamma_i = p_i/R$  if  $\beta > \sqrt{0.5}$ . If the sample size  $N''$  is large enough  $\bar{t}_j := \text{MeanTime}(u_j, N'') \approx E(Z(u_j)) = E(Z_1(u_j)) + E(Z_2(u_j)) + \bar{z}_3$ , which implies

$$\begin{aligned} \bar{t}_j &:= \text{MeanTime}(u_j, N'') \approx E(Z(u_j)) \approx a'_j c + b'_j c_{ER} + \bar{z}_3 & (38) \\ &\text{with known reals } a'_j \text{ and } b'_j \text{ for } j = 1, \dots, 5. \end{aligned}$$

We may assume that the noise  $N_e$  (c.f. Subsect. 3.2) has mean value  $\mu_N = 0$ ; otherwise  $\mu_N$  may be viewed as part of the constant  $\bar{z}_3$ , which is not relevant for our attack. Finally, we replace  $\approx$  by  $=$  in (38) and as above we apply least square estimation, which gives estimates for the timing constants  $c$  and  $c_{\text{ER}}$ .

We note that [10], Sect. 6, provides an estimation process for  $c$  and  $c_{\text{ER}}$  for RSA without CRT ( $d$  unknown, known input values).

#### 4.5 Table-Based Exponentiation Algorithms

The timing attack against unprotected implementations can be adjusted to table-based exponentiation algorithms [9, 3, 1]. This is also possible for the present attack.

We begin with fixed-window exponentiation [7], 14.82, which is combined with Montgomery's exponentiation algorithm. The window size is  $b > 1$ . In Step i(c) of Algorithm 3 (exponentiation modulo  $p_i$ ) for basis  $y = uR^{-1} \pmod{p_i}$  the following precomputations are carried out:

$$\begin{aligned} y_{0,i} &= R \pmod{p_i}, y_{1,i} = \text{MM}(y, R^2 \pmod{p_i}, p_i) = u \pmod{p_i}, \quad \text{and} \\ y_{j,i} &:= \text{MM}(y_{j-1,i}, y_{1,i}, p_i) \quad \text{for } j = 2, \dots, 2^b - 1. \end{aligned} \quad (39)$$

The exponentiation modulo  $p_i$  requires  $(2^b - 3) + (\log_2(R) + ebr)/(b2^b)$  Montgomery multiplications by  $y_{1,i}$  in average (table initialization + exponentiation phase; the computation of  $y_{2,i}$  is actually a squaring operation). The attack tries to exploit these Montgomery multiplications modulo  $p_1$  or  $p_2$ , respectively. Compared to the s&m exponentiation algorithm the attacking efficiency decreases significantly since the percentage of 'useful' operations (here: the  $\text{MM}(\cdot, y_{1,i}; p_i)$  multiplications) shrinks tremendously. The Montgomery multiplications by  $y_{1,i}$  are responsible for the mean timing difference between Case A and (Case B or Case C). In analogy to (29) for  $\sqrt{0.5} < \beta < 1$  we conclude

$$\begin{aligned} \text{decbound}_b &= -\frac{1}{2} \left( \frac{E(Q)}{b2^b} + 2^b - 3 \right) \frac{\sqrt{n}}{2R} c_{\text{ER}} \\ &= -\frac{1}{4} \left( \frac{(\log_2(R) + eb - 1)\beta - 1}{b2^b} + (2^b - 3)\beta \right) c_{\text{ER}}. \end{aligned} \quad (40)$$

The computation of  $\text{Var}_b(Z_i(u))$  may be organized as in the s&m case. We do not carry out these extensive calculations in the following but derive an approximation (41), which suffices for our purposes. We yet give some advice how to organize an exact calculation. First of all, the table initialisation modulo  $p_i$  costs an additional squaring. In average, there are  $E(Q)/b2^b + 2^b - 3$  multiplications by  $y_{i,1}$  (responsible for exploitable timing differences),  $E(Q)/b2^b$  multiplications by  $y_{i,0}$  (do not need extra reductions) and altogether  $(2^b - 2)E(Q)/b2^b$  multiplications by some  $y_{i,j}$  with  $j > 1$ . When computing the second moment additionally to the s&m case the covarianc  $\text{cov}_{i,\text{MM}(u'_i)}$  ( $2^b - 4$  times, table initialization) occur. The term  $\text{cov}_{i,\text{MM}(u'_i)}$  is defined and calculated analogously to  $\text{cov}_{i,\text{SM}(u'_i)}$ ,  $\text{cov}_{i,\text{MS}(u'_i)}$  and  $\text{cov}_{i,\text{SS}}$ .

To assess the efficiency of our timing attack on  $b$ -bit fixed window exponentiation we estimate the ratio of the variances  $\text{Var}_b(Z_i(u))$  and  $\text{Var}(Z_i(u))$ . Therefore, we simply count the number of Montgomery operations in both cases (neglecting the different ratios between squarings and multiplications). This gives the rough estimate

$$\frac{\text{Var}_b(Z_i(u))}{\text{Var}(Z_i(u))} \approx \frac{E(Q) + E(Q)/b + 2^b}{E(Q) + 0.5E(Q)} = \frac{2(b+1)}{3b} + \frac{2^{b+1}}{3E(Q)} =: f_1(b). \quad (41)$$

Finally, we obtain a pendant to (31)

$$N_{\tau,b} \geq \frac{\tau^2(4\text{var}_{\beta;\max_b} + 2\sigma_N^2)}{|\text{decbound}_b|^2} \approx \frac{\tau^2(4\text{var}_{\beta;\max} f_1(b) + 2\sigma_N^2)}{|\text{decbound}|^2 f_2^2(b)} \quad (42)$$

with  $f_2(b) := |\text{decbound}_b/\text{decbound}|$ . In particular, if  $\sigma_N^2 \approx 0$  then

$$N_{\tau,b} \approx N_{\tau} \frac{f_1(b)}{f_2^2(b)}. \quad (43)$$

For  $b$ -bit sliding window exponentiation the table initialization the comprises the following operations:

$$\begin{aligned} y_{1,i} &= \text{MM}(y, R^2(\text{mod } p_i), p_i), y_{2,i} := \text{MM}(y_{1,i}, y_{1,i}, p_i) \quad \text{and} \\ y_{2j+1,i} &:= \text{MM}(y_{2j-1,i}, y_{2,i}, p_i) \quad \text{for } j = 1, \dots, 2^{b-1} - 1. \end{aligned} \quad (44)$$

In the exponentiation phase the exponent bits are scanned from the left to the right. In the following we derive an estimate for the number of multiplications by the table entries within an exponentiation  $(\text{mod } p_i)$ . Assume that the last window either 'ended' at exponent bit  $d_{i,b;j}$  or already at  $d_{i,b;j+t'}$ , followed by exponent bits  $d_{i,b;j+t'-1} = \dots = d_{i,b;j} = 0$ . Let  $d_{i,b;j-t}$  denote the next bit that equals 1. We may assume that  $t$  is geometrically distributed with parameter  $1/2$ . The next window 'ends' with exponent bit  $d_{i,b;j-t}$  iff  $d_{i,b;j-t-1} = \dots = d_{i,b;j-t-(b-1)} = 0$ . In this case table entry  $y_{1,i}$  is applied, and this multiplication is followed by  $(b-1)$  squarings that correspond to the exponent bits  $d_{i,b;j-t-1}, \dots, d_{i,b;j-t-(b-1)}$ . Alternatively, the next window might end with exponent bit  $d_{i,b;j-t-2}$  (resp. with exponent bit  $d_{i,b;j-t-3}, \dots, d_{i,b;j-t-(b-1)}$ ) iff  $d_{i,b;j-t-2} = 1, d_{i,b;j-t-3} = \dots = d_{i,b;j-t-(b-1)} = 0$  (resp. iff  $d_{i,b;j-t-3} = 1, d_{i,b;j-t-4} = \dots = d_{i,b;j-t-(b-1)} = 0, \dots$ , iff  $d_{i,b;j-t-(b-1)} = 1$ ). Of course, if the window ends before exponent bit  $d_{i,b;j-t-(b-1)}$  it is followed by some squarings. Altogether, the exponent bits  $d_{i,b;j-1}, \dots, d_{i,b;j-t-(b-1)}$  need one multiplication by some table entry. Neglecting boundary effects one concludes that sliding window exponentiation requires one multiplication by a table entry per

$$\sum_{s=1}^{\infty} s2^{-s} + (b-1) = 2 + b - 1 = b + 1 \quad (45)$$

exponent bits in average. This gives the pendant to (41):

$$\frac{\text{Var}_{b,sw}(Z_i(u))}{\text{Var}(Z_i(u))} \approx \frac{\left(1 + \frac{1}{b+1}\right) E(Q) + 2^{b-1} + 1}{E(Q) + 0.5E(Q)}$$

$$= \frac{2(b+2)}{3(b+1)} + \frac{2^b+2}{3E(Q)} =: f_{1,sw}(b). \quad (46)$$

where the subscript 'sw' stands for 'sliding window'. Since there is a bijection between the table entries and the  $(b-1)$  exponent bits  $(d_{i,b;j-t-1} \dots, d_{i,b;j-t-b+1})$  all table entries are equally likely. For usual parameter sets  $(\log_2(R) + eb, b)$  the table entry  $y_{1,i}$  occurs less often than  $y_{2,i}$ , which is carried out  $2^{b-1} - 1$  times within the table initialization. (Numerical example: For  $(\log_2(R) + eb, b) = (1024 + 64, 5)$  in average  $E(Q)/(16(5+1)) \approx 11.3 < 15$  multiplications with table entry  $y_{1,i}$  occur.) Consequently, as in [1] our attack then focuses on the Montgomery multiplications by  $y_{2,i}$ . In particular, we then obtain the decision boundary

$$decbound_{b,sw} = -\frac{1}{2} (2^{b-1} - 1) \frac{\sqrt{n}}{2R} c_{ER} = -\frac{1}{4} (2^{b-1} - 1) \beta c_{ER} \quad (47)$$

(Of course, if  $2^{-(b-1)}E(Q_i)/(b+1) > 2^{b-1} - 1$  then in (47) the term  $(2^{b-1} - 1)$  should be replaced by  $2^{-(b-1)}E(Q_i)/(b+1)$ , and the attack should focus on the multiplications by table value  $y_{i,1}$ .)

Setting

$$f_{2,sw}(b) := |\text{decbound}_{b,sw} / \text{decbound}| \quad (48)$$

we obtain an analogous formula to (43):

$$N_{\tau,b,sw} \approx N_{\tau} \frac{f_{1,sw}(b)}{f_{2,sw}(b)}. \quad (49)$$

*Example 1.* Let  $\log_2(R) = 1024$  (i.e., 2048-bit RSA),  $eb = 64$ ,  $\beta = 0.8$ , and  $\sigma_N^2 \approx 0$ .

(i)  $[b = 6]$  For fixed window exponentiation  $N_{\tau,b} \approx 59N_{\tau}$ , i.e. the overall attack costs  $\approx 59$  times the number of timing measurements for s&m. For sliding window exponentiation we obtain  $N_{\tau,b,sw} \approx 240N_{\tau}$ . We applied formula (25) to estimate  $E(Q_i)$ .

(ii)  $[b = 5]$  For fixed window exponentiation we have  $N_{\tau,b} \approx 189N_{\tau}$ , and for sliding window exponentiation  $N_{\tau,b,sw} \approx 1032N_{\tau}$ .

(iii)  $[b = 4]$   $N_{\tau,b} \approx 277N_{\tau}$ ,  $N_{\tau,b,sw} \approx 322N_{\tau}$ .

(iv)  $[b = 3]$   $N_{\tau,b} \approx 104N_{\tau}$ ,  $N_{\tau,b,sw} \approx 54N_{\tau}$ .

(v)  $[b = 2]$   $N_{\tau,b} \approx 16N_{\tau}$ ,  $N_{\tau,b,sw} \approx 8N_{\tau}$ .

Note: For  $b = 2, 3, 4$  the timing attack on sliding window exponentiation aims at the multiplications by  $y_{i,1}$ , for  $b = 5, 6$  on the multiplications by  $y_{2,i}$  during the table initialization. For  $b = 4, 5, 6$  the attack on fixed window exponentiation is more efficient than the attack on sliding window exponentiation while for  $b = 2, 3$  the converse is true.

Our timing attack also applies to fixed window exponentiation and sliding window exponentiation. However, its efficiency is by far smaller than for the square & multiply exponentiation. It is very difficult to define a clear-cut lower bound for the number of timing measurements from which on the attack should

be viewed impractical. The maximum number of available timing measurements clearly depends on the concrete attack scenario. Cryptographic software on PCs and servers usually applies large table size  $b$ , and the timing measurements are often to some degree noisy. With regard to Example 1 we mention that for large window size  $b$  and realistic ratios  $c_{\text{ER}}/c$  the attack requires a gigantic number of timing measurements, all the more in the presence of non-negligible noise. Example 1 provides these numbers relative to the square & multiply case. The absolute numbers of timing measurements in particular depend on the ratios  $c_{\text{ER}}/c$  and  $p_i/R$  and the level of noise (c.f. Remark 5(ii), Subsection 4.2 and Subsection 3.3).

#### 4.6 Countermeasures

The most solid countermeasure clearly is to avoid extra reductions entirely. In fact, one may resign on the extra reductions within modular exponentiation if  $R > 4p_i$  ([13], Theorem 3 and Theorem 6). This solution (resigning on extra reductions) was selected for OpenSSL as response on the instruction cache attack described in [2]. We point out that the present attack could also be prevented by combining exponent blinding with base blinding ([6], Sect. 10), for example, which in particular would also prevent the attack from [2]. However, the first option is clearly preferable as it prevents any type of timing attack.

## 5 Conclusion

It has been widely assumed that exponent blinding would prevent timing attacks. This paper shows that this assumption is not generally true (although exponent blinding reduces the efficiency of our timing attack enormously). At least in the presence of only moderate noise our attack is a practical threat against square & multiply exponentiation and should be considered (c.f. also Remark 6). Possible improvements that increase the attack efficiency were discussed. Our attack also applies to fixed window exponentiation and to sliding window exponentiation. However, for large window size  $b$  the attack requires a very large number of timing measurements. The attack may be practically infeasible then, in particular for small  $c_{\text{ER}}/c$  or in the presence of non-negligible noise. Fortunately, effective countermeasures exist.

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