# A Sub-Set Fault Analysis attack on ASCON

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#### ABSTRACT

ASCON, designed by Dobraunig et al.[1] is an authenticated encryption, selected as the first choice for a lightweight use case in the CAESAR competition in February 2019. In this work, we investigate vulnerabilities of ASCON against fault analysis. We observe that the use of 128-bit random nonce makes it resistant against many cryptanalysis techniques like differential, linear, etc. and their variants. However, XORing the key just before releasing the tag T (a public value) creates a trivial attack path. Also, the S-Box demonstrates a non-random behavior towards subset cryptanalysis. We observe that if the 3rd bit of the S-box input is set to zero, then XoR of the last two output bits is zero, with a probability of 0.625, i.e., this characteristic is present in 10 out of 16 cases. Our subset fault analysis(SSFA) attack uses this property to retrieve the 128-bit secret key. The SSFA attack can uniquely retrieve the key of full-round ASCON with the complexity of 2<sup>64</sup>.

#### 1 Objective

ASCON is designed to operate efficiently and securely in highly-constrained environments like the Internet of Things (IoT), where fault attacks make a potent threat. This work aims to evaluate the security of ASCON against a class of fault analysis attacks.

#### 2 ASCON Block Cipher

ASCON is a sponge based cipher with 320-bits state. The initial state of ASCON consists of 64-bit constant *IV* followed by 128-bit secret key *K* and Nonce *N* of 128-bits. The 320-bit sponge state is divided into five 64-bit words  $x_0$ ,  $x_1$ ,  $x_2$ ,  $x_3$ , and  $x_4$  as  $\{S = S_r | |S_c = x_0| |x_1| |x_2| |x_3| |x_4\}$ . The encryption is partitioned into four stages: initialization, associated-data, plaintext, and finalization. In encryption, it iteratively applies an SPN-based round transformation *p* which consists of three sub-transformations  $p_C$ ,  $p_S$  and  $p_L$  in the same order,  $\{p = p_C \circ p_S \circ p_L\}$ . The sub-transformation  $p_C$  adds a round-constant  $c_r$ to the register word  $x_2$  of the state S,  $\{x_2 = x_2 \oplus c_r\}$ .  $p_S$  is a non-linear transformation that represents the substitution layer. The substitution layer consists of 64 parallel instances of a 5-bit S-box S(x). The five inputs of the S-box are taken from five 64-bit register words  $x_0$  to  $x_4$ , considering one bit from each word, where  $x_0$  acts as the MSB and  $x_4$  as the LSB of the S-box input. The sub-transformation  $p_L$  is a set of linear functions  $\Sigma_i$  that provides diffusion within each register word separately.

#### **3 Threat Model**

We assume the attacker is capable of inducing bit-reset fault in a 64-bit word in the input of substitution operation at the last round of the finalization stage in ASCON encryption. The bit-set/reset faults can be induced using laser beam profiling with high precision[2].

## 4 The Proposed Attack

The SSFA works in two phases:

**Phase-I** (*Subset fault analysis using key partitioning*) - First, we partition the 128-bit key into n-bit sub-keys (Sk), where n is assumed to be a power of 2. Hence, the total number of subkeys is  $N_{sk} = \frac{128}{n}$ . Each subkey is a linear combination of *n* key bits, where coefficients of a linear combination depend on the target S-boxes used for analysis. The subkey  $Sk_i$  can be expressed as:  $Sk_i = a_ik_i \oplus a_{i+1}k_{i+1} \oplus \cdots \oplus a_{i*n-2}k_{i*n-2} \oplus a_{i*n-1}k_{i*n-1}$ . Instead of using key bits directly, we use parity of each subkey  $(P_{sk})$  for our subset analysis, where  $P_i$  is one-bit value of  $Sk_i$ . Thus, key hypothesis for S-box *j* is a set  $K^{(j)} = \{P_0^{(j)}, P_1^{(j)}, \dots, P_{N_{sk}-1}^{(j)}\}, P_i^{(j)} \in \{0,1\}, 0 \le i \le N_{sk} - 1$  So, there are  $2^{N_{sk}}$  combinations for each key hypothesis is a set  $K^{(j)}$ . Consider an example, for n = 32, there will be four subkeys. So, for each S-box *j*, the key hypothesis is a set

 $K^{(j)} = \{P_0^{(j)}, P_1^{(j)}, P_2^{(j)}, P_3^{(j)}\}$  with 2<sup>4</sup> possible values for each  $K^{(j)}$ . The Phase-I estimates the parity of  $K^{(j)}$  for each S-box *j*. It works as depicted in Figure 1.

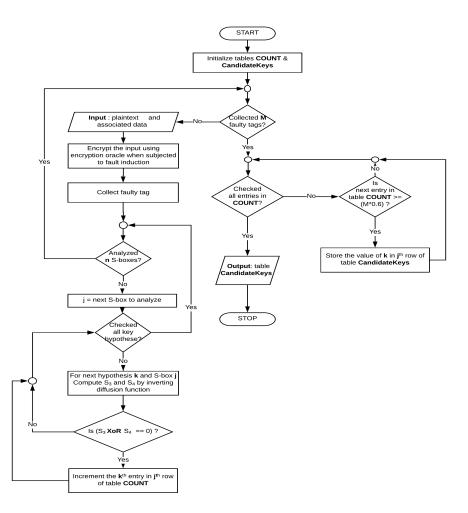


Figure 1. Subset fault analysis using key partitioning

**Phase-II** (*Key analysis using partition parity*) - Once the correct parity of  $K^{(j)}$  is obtained from Phase-I, the combinations that do not satisfy the parity can be eliminated. In the exhaustive search space of  $2^{N_{sk}}$  combinations,  $2^{N_{sk}/2}$  combinations have even parity, and the other half have odd parity. Thus, half of the key hypotheses are excluded for each S-box. Now, for each of the remaining key hypotheses, formulate  $N_{Sk}$  sets of *n*-linear equations, where each equation in a set corresponds to one of the *n* S-boxes under analysis. On solving one set of linear equations, we receive *n* key bits. The complete 128-bit key is then obtained by concatenating  $N_{Sk}$  sets of *n*-key bits. We check for the correctness of the derived key. If the correct key is not determined, we repeat the process on subsequent key hypotheses.

#### **5 Experiments and Results**

To verify the proposed attack, we have simulated it on a C implementation of ASCON-128. We performed experiments for n = 32, and n = 64 with randomly generated plaintext and associated-data pairs while ensuring unique nonce for each encryption. We notice that, in our attack, 70-100 faulty tags can recover the embedded key in the device. Unlike other statistical attacks[3], the number of required faulty encryptions is independent of partition size *n* because, in our proposed fault model, a single fault in  $x_2$  causes 1-bit faults in all 64 S-boxes. Also, for n = 32, Phase-I returns  $2^3$  candidate key guesses for each S-box, and 32 such S-boxes are required to be analyzed. Hence, it requires  $2^{3*32} = 2^{96}$  search operations to retrieve the correct key. Whereas, for n = 64, Phase-I returns 2 candidate key guesses for each S-box, and 64 S-boxes are needed to be analyzed. So, it takes  $2^{64}$  search operations to recover the correct key, which is a significant reduction in key search space.

# 6 Conclusion

We demonstrate that our SSFA attack can recover the entire secret key of full-round ASCON with 70-100 faulty tags and search complexity of  $2^{64}$ . Hence, in the Subset Fault Analysis Model, ASCON-128 does not achieve a 128-bit security level as claimed by designers and fails to attain 112-bit level security, which is the primary requirement for NIST-LWC's consideration.

# References

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